

ON THE CLASSIFICATION OF INVERSE LIMITS OF TENT MAPS

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ABSTRACT. Let f_s and f_t be tent maps on the unit interval. In this paper we give a new proof of the fact that if the critical points of f_s and f_t are periodic and the inverse limit spaces (I, f_s) and (I, f_t) are homeomorphic, then $s = t$. This theorem was first proved by Kailhofer. The new proof in this paper simplifies the proof of Kailhofer. Using the techniques of the paper we are also able to identify certain isotopies between homeomorphisms on the inverse limit space.

1. INTRODUCTION

Given a continuous map f of a one-dimensional space to itself, one may form an inverse limit space by using f repeatedly as the bonding map. Spaces formed in this way commonly appear as attractors in dynamical systems [1, 2, 5, 9, 13, 22]. This motivates the study of such inverse systems. It is natural to try to determine when two such inverse limits are homeomorphic. In the case of solenoids, there is a well-known characterization [1, 16]. Consider the inverse limit space for the inverse system where the inverse system spaces are each the interval and the bonding maps are each some tent map $f_s(x) = \min\{s \cdot x, s \cdot (1 - x)\}$ for $x \in [0, 1]$ and $s \in [1, 2]$. This inverse limit space has also been studied extensively. Any unimodal map without wandering intervals, restrictive intervals, or periodic attractors is conjugate to a tent map (see e.g. [17]). As conjugate maps have homeomorphic inverse limit spaces, the family of tent maps is more inclusive than it seems at first glance. Given parameters $s \neq t$ it is unknown whether the corresponding inverse limit spaces (I, f_s) and (I, f_t) could be homeomorphic where $I = [0, 1]$. However, partial results exist [3, 7, 10, 12, 18, 21]. In this paper we work with tent maps for which $s \in [\sqrt{2}, 2]$ and the turning point is periodic, i.e. letting c denote the turning point, there is some

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positive integer n such that $f_s^n(c) = c$. In [14] and [15] Kailhofer proved the following result.

Theorem (Kailhofer). *Suppose that $s, t \in [\sqrt{2}, 2]$. Assume that the turning point is periodic for both f_s and f_t . Then X_s is homeomorphic to X_t if and only if $s = t$.*

In this theorem, X_s and X_t are the cores of (I, f_s) and (I, f_t) , respectively. These will be defined in the next section. The theorem implies that if (I, f_s) and (I, f_t) are homeomorphic, then $s = t$ under the given assumptions. Related results appear in [3], [10], and [20].

One can extend the same result for the whole interval $s \in (1, 2]$ in the following way. For $s \in (1, \sqrt{2}]$, there are two intervals J_1 and J_2 in the core I_s of f_s with pairwise disjoint interiors such that $f_s^2|_{J_1}$ and $f_s^2|_{J_2}$ are topologically conjugate to $f_{s^2}|_{I_{s^2}}$. It follows that for $s \in (1, \sqrt{2}]$, (I_s, f_s) is determined by (I_{s^2}, f_{s^2}) . Therefore, it is enough to consider tent maps with slopes in $(\sqrt{2}, 2]$.

In the present paper we give a simplified proof of Kailhofer's theorem. The proof in this paper uses some of the results in [14] together with some new results. One of the results proved in this paper is of particular interest in itself.

Isotopy Theorem. *Let $s \in (\sqrt{2}, 2)$. Let $I_s = [f_s^2(c), f_s(c)]$ be the core of f_s . Let $X_s = (I_s, f_s)$ be the inverse limit of the core. Let h be any homeomorphism of X_s . Then there is a positive integer n and an integer k such that h^n is isotopic to σ^k where σ is the shift map on X_s .*

A weakened version of this theorem will be proved in the early part of the paper. In the simplified proof of Kailhofer's theorem, we only need that a certain homeomorphism h permutes the composants of X_s in the same way as σ^k for some integer k . If h and σ^k were isotopic, then it would easily follow that the composants of X_s are permuted by them in the same way. It is only at the end of the paper that we actually show that h and σ^k are in fact isotopic.

2. PRELIMINARIES

In this section we will recall some general definitions and known background results needed to state and prove the main results of this paper.

Let X be a topological space. X is an *arc* if there exists a homeomorphism from X onto $[0, 1]$. The *components* of X are the maximal connected subspaces of X . We define $\mathbb{N} = \{0, 1, 2, \dots\}$, $\mathbb{N}_+ = \{1, 2, \dots\}$, $\mathbb{R} = (-\infty, \infty)$ and $\mathbb{R}_+ = [0, \infty)$.

A *continuum* is a compact connected metric space. Let X be a continuum. The *composant* of $x \in X$ is the union of all proper subcontinua

of X that contain x . An *end continuum in X* is a subcontinuum T of X such that whenever $T \subset H$, $T \subset J$ for continua $H, J \subset X$, then either $H \subset J$ or $J \subset H$. A point $x \in X$ is an *end point of X* if $\{x\}$ is an end continuum in X . Note that endpoints are topological invariants.

Let $\{X_i, d_i\}_{i=0}^{\infty}$ be a collection of compact metric spaces with d_i bounded by 1, and such that for each i , $f_i : X_{i+1} \rightarrow X_i$ is a continuous map. The *inverse limit space* is

$$\{X_i, f_i\} = \{\bar{x} = (x_0, x_1, \dots) \mid \bar{x} \in \prod_{i=0}^{\infty} X_i, f_i(x_{i+1}) = x_i, i \in \mathbb{N}\},$$

and has metric d given by

$$d(\bar{x}, \bar{y}) = \sum_{i=0}^{\infty} \frac{d_i(x_i, y_i)}{2^i}.$$

For each i , π_i denotes the projection map from $\prod_{i=0}^{\infty} X_i$ into X_i . An inverse limit space $\{X_i, f_i\}_{i=0}^{\infty}$ is a continuum if X_i is a continuum for every i [19, Theorem 2.4]. If $X_i = X$ and $f_i = f$ for all i , the inverse limit space is denoted (X, f) , and the map $\sigma : (X, f) \rightarrow (X, f)$ defined by $\sigma(x_0, x_1, \dots) = (f(x_0), x_0, x_1, \dots)$ is known as the *shift homeomorphism* or as the *induced homeomorphism*.

A continuous map $f : [a, b] \rightarrow [a, b]$ is called *unimodal* if there exists a unique *turning* or *critical point*, c , such that $f|_{[a,c]}$ is increasing and $f|_{[c,b]}$ is decreasing. For each $x \in [a, b]$, the *forward itinerary of x* is $I(x) = b_0 b_1 b_2 \dots$ where $b_i = R$ if $f^i(x) > c$, $b_i = L$ if $f^i(x) < c$, and $b_i = C$ if $f^i(x) = c$, with the convention that the itinerary stops after the first C . The itinerary of $f(c)$ is known as the *kneading sequence of f* and it is denoted $K(f)$. The set of itineraries is given the *parity-lexicographical ordering* in the following way. Set $L < C < R$. Let $W = w_0 w_1 \dots$ and $V = v_0 v_1 \dots$ be two distinct itineraries and let k be the first index where the itineraries differ. If $k = 0$, then $W < V$ if and only if $w_0 < v_0$. If $k \geq 1$, and $w_0 w_1 \dots w_{k-1} = v_0 v_1 \dots v_{k-1}$ has an even number of R 's, that is, has *even parity*, then $W < V$ if and only if $w_k < v_k$; if $w_0 w_1 \dots w_{k-1} = v_0 v_1 \dots v_{k-1}$ has an odd number of R 's, that is, has *odd parity*, then $W < V$ if and only if $v_k < w_k$. It is known that the map $x \rightarrow I(x)$ is monotone, that is, if $x < y$, then $I(x) < I(y)$ [11]. The *modified forward itinerary of $f(c)$* , denoted $I'(f(c))$, is defined as follows. If $K(f) = a_0 a_1 a_2 \dots$ is infinite, let $I'(f(c)) = K(f)$. If $K(f) = a_0 a_1 \dots a_{n_0-2} C$, then $I'(f(c)) = (a_0 a_1 a_2 \dots a'_{n_0-1})^{\infty}$, where a'_{n_0-1} replaces the terminal C in the kneading sequence and $a_0 a_1 \dots a'_{n_0-1} < K(f)$ in the parity-lexicographical ordering.

Definition 2.1. Let $f : I \rightarrow I$ be a unimodal map. Let $\bar{x} = (x_0, x_1, \dots)$ be a point in the inverse limit space (I, f) of f . The *backward itinerary of \bar{x}* , denoted $B(\bar{x}) = b_0 b_1 b_2 \dots$, is a sequence of R 's and L 's such that

$$(1) \quad b_i = R \text{ if } x_i \geq c \text{ and } b_i = L \text{ if } x_i \leq c$$

- (2) if $x_i = c$ for some $i > 0$, then $b_0 b_1 \cdots b_{i-1} = a_{i-1} a_{i-2} \cdots a_1 a_0$, where $I'(f(c)) = a_0 a_1 \cdots$.

Define $B_f = \{B(\bar{x}) | \bar{x} \in (I, f)\}$.

Remark 2.2. Suppose that c is periodic with period n_0 . Let $\bar{x} \in (I, f)$. If $x_i \neq c$ for all $i \in \mathbb{N}$, or if $x_i = c$ for infinitely many $i \in \mathbb{N}$, then \bar{x} has exactly one backward itinerary. If $x_i = c$ for finitely many $i \in \mathbb{N}$, then \bar{x} has two backward itineraries that differ at only one coordinate, $\max\{i \in \mathbb{N} | x_i = c\}$.

Consider the one-parameter family of tent maps $f_s : I \rightarrow I$, $f_s(x) = \min\{s \cdot x, s \cdot (1 - x)\}$, $x \in I$ and $s \in [\sqrt{2}, 2]$. The tent map f_s is unimodal for all $s \in [\sqrt{2}, 2]$. From now on, unless otherwise specified, consider $s \in (\sqrt{2}, 2]$ fixed such that the critical point c of f_s has period n_0 . Denote $c_i = f_s^i(c)$ and $\bar{c}_i = (c_i, c_{i-1}, \dots, c_1, c, c_{n_0-1}, \dots, c_{i+1})^\infty$ for $i = 0, 1, 2, \dots, n_0 - 1$. Denote $I_L = [c_2, c]$, $I_R = [c, c_1]$ and $I_s = [c_2, c_1]$. The interval $I_s = [c_2, c_1]$ is invariant under f_s and f_s is *locally eventually onto* on I_s , that is, for every nondegenerate interval $J \subset I_s$ there exists an $n > 0$ such that $f_s^n(J) = I_s$. I_s is known as the *core* of f_s . The inverse limit space of (I, f_s) is equal to $X_s = (I_s, f_s)$ union with an open ray having X_s as its limit set. To denote the n th coordinate in the inverse system we use I_n instead of $(I_s)_n$. We know that X_s is indecomposable. Under the assumption that c is periodic every proper subcontinuum of X_s is an arc. Thus, every composant is a union of arcs.

The composant $C_{\bar{x}}$ of $\bar{x} \in X_s$ is the set of all points in X_s with backward itineraries eventually identical to $B(\bar{x})$, that is, $\bar{y} \in C_{\bar{x}}$ if and only if the backward itineraries of \bar{x} and \bar{y} differ in at most finitely many coordinates [Lemma 4.1].

3. DEFINITIONS AND RESULTS FROM KAILHOFER'S PAPER

In this section we will give several definitions introduced by Kailhofer and some of the results from her paper [14].

Definition 3.1. Let $w = w_0 w_1 w_2 \cdots \in B_{f_s}$. Define

$$\mathcal{A}_w = \{\bar{x} \in X_s | \pi_i(\bar{x}) \in I_{w_i} \text{ for all } i \in \mathbb{N}\},$$

the set of points in X_s with backward itinerary w . Define

$$\mathcal{A}_w^n = \sigma^n(\mathcal{A}_w).$$

Note that $\mathcal{A}_w = \{\bar{x} \in X_s | B(\bar{x}) = w\}$.

Remark 3.2. Each \mathcal{A}_w is a non-degenerate arc contained in a single composant.

Lemma 3.3. [14, Lemma 4] *Let $w \in B_{f_s}$. There exist $0 \leq i \neq j < n_0$ such that $\pi_0|_{\mathcal{A}_w}$ is a homeomorphism onto $[c_i, c_j]$.*

The proof of this lemma shows that for an interval $J \subset [c_2, c_1]$, $f_s(g_s(J)) \neq J$ if and only if c_3 is in the interior of J , where g_s is the inverse of the map f_s restricted on $[c_2, c]$, but for $n \geq n_0$, $f_s(g_s^n(J)) = g_s^{n-1}(J)$.

Remark 3.4. Note that if $\bar{x} \in X_s$ is an endpoint of \mathcal{A}_w and $\bar{x} \neq \bar{c}_i$ for any $i = 0, 1, \dots, n_0 - 1$, then \bar{x} has two backward itineraries.

The following lemma is well-known and appears in several publications. Barge and Martin in [6] describe the basic construction of endpoints in (X, f) .

Lemma 3.5. [14, Lemma 8] *The endpoints of X_s are $\bar{c}, \bar{c}_1, \dots, \bar{c}_{n_0-1}$.*

Remark 3.6. Each composant C in X_s has the property that there is a continuous bijection either from \mathbb{R}_+ to C or from \mathbb{R} to C .

Definition 3.7. Let $p \in \mathbb{N}$ and $0 \leq j < n_0$. Define

$$\begin{aligned}\Phi_{p,j} &= \{\bar{x} \in C_{\bar{c}} \mid \pi_{pn_0}(\bar{x}) = c_j\}, \\ \Phi_p &= \bigcup_{j=0}^{n_0-1} \Phi_{p,j}.\end{aligned}$$

The elements of Φ_p are called *p-special points*.

Definition 3.8. Let $n, m \in \mathbb{N}$. Define

$$\begin{aligned}E_n &= \pi_n(\Phi_0), \\ P_{n,m} &= \{z \in I_n \mid \exists x, y \in E_n, \exists k \in \{0, 1, 2, \dots, 2^m\} \text{ such that} \\ &\quad (x, y) \cap E_n = \emptyset \text{ and } z = \frac{kx + (2^m - k)y}{2^m}\}.\end{aligned}$$

E_n partitions I_n in finitely many intervals and $P_{n,m}$ refines that partition by dividing each interval into 2^m subintervals.

Definition 3.9. Let $n, m \in \mathbb{N}$ and let $x \in P_{n,m}$. If $x \neq c_2$, set $y = \max\{w \in P_{n,m} \mid x > w\}$. If $x \neq c_1$, set $z = \min\{w \in P_{n,m} \mid x < w\}$. Define $l_{n,m}^x = (y, z)$ if $x \in (c_2, c_1)$, $l_{n,m}^x = [x, z)$ if $x = c_2$ and $l_{n,m}^x = (y, z]$ if $x = c_1$. Let

$$\begin{aligned}L_{n,m} &= \{l_{n,m}^x \mid x \in P_{n,m}\}, \\ \mathcal{L}_{n,m} &= \{l_{n,m}^x \mid l_{n,m}^x = \pi_n^{-1}(l_{n,m}^x), x \in P_{n,m}\}.\end{aligned}$$

Let $U = \{U_i\}_{i=1}^n$ be an open cover of a topological space X . Recall that the set U is a *chaining* of the space X if $U_i \cap U_j \neq \emptyset$ if and only if $|i - j| \leq 1$.

Let $U = \{U_i\}_{i=1}^n$ and let $V = \{V_j\}_{j=1}^m$ be chainings of a topological space X . We say that the chaining U *refines* the chaining V , $U \prec V$, if for every $1 \leq i \leq n$, there is $1 \leq j \leq m$ such that $U_i \subset V_j$.

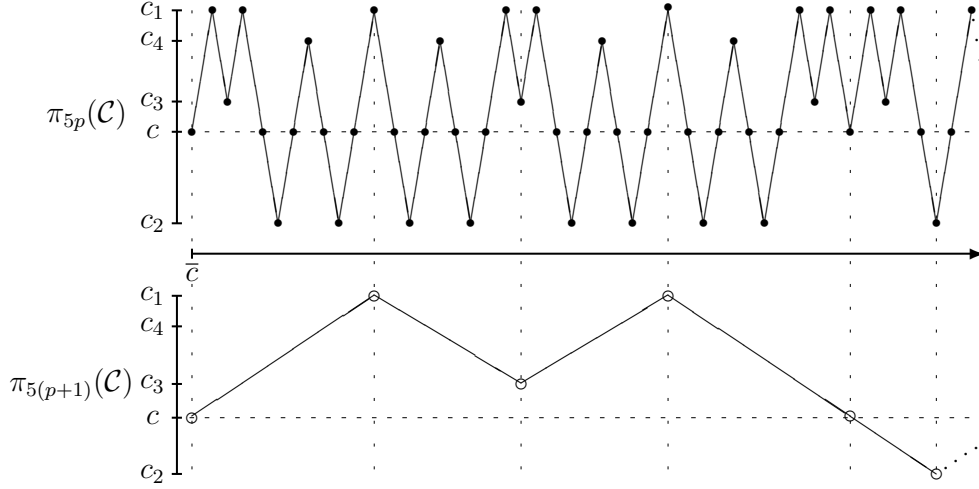


FIGURE 1. The projections of the p -wrapping points \bullet and the $(p+1)$ -wrapping points \circ .

Lemma 3.10. [14, Lemma 16] *Fix $n, m, i, j \in \mathbb{N}$. Then*

- (1) $L_{n,m}$ is a chaining of I_n
- (2) $\mathcal{L}_{n,m}$ is a chaining of X_s
- (3) $\mathcal{L}_{n,m} \prec \mathcal{L}_{i,j}$ if $n \geq i, m \geq j$
- (4) If $\bar{x} \in \Phi_0$, then there is a unique $l \in \mathcal{L}_{n,m}$ such that $\bar{x} \in l$
- (5) $\text{mesh}(\mathcal{L}_{n,m}) \rightarrow 0$ as $n \rightarrow \infty$ and $m \rightarrow \infty$.

Definition 3.11. For each $p \in \mathbb{N}$, define

$$W_p = \{\bar{x} \in C_{\bar{c}} \mid \exists \bar{x} \in \mathcal{A}_v^{pn_0} \cap \mathcal{A}_w^{pn_0}, v \neq w \in B_f\} \cup \{\bar{c}\}.$$

If $\bar{x} \in W_p$, then \bar{x} is called a p -wrapping point. There is a natural order on the set of all p -wrapping points with $\bar{x} < \bar{y}$ if $h^{-1}(\bar{x}) < h^{-1}(\bar{y})$ for any continuous bijection $h : R_+ \rightarrow C_{\bar{c}}$.

Lemma 3.12. *Fix $p \in \mathbb{N}$. The following hold.*

- (1) $W_p = \{\bar{x} \in C_{\bar{c}} \mid \exists n \geq pn_0 \text{ such that } \pi_n(\bar{x}) = c\}$
- (2) $W_{p+1} \subset \Phi_{p+1} \subset W_p$
- (3) $\sigma^{n_0}(W_p) = W_{p+1}$.

Example 3.1 Let T be the tent map with kneading sequence $RLRRC$. Figure 1 shows the p -wrapping points and the $(p+1)$ -wrapping points of $C_{\bar{c}}$.

Proposition 3.13. [14, Proposition 25] *Fix $p, m, k \in \mathbb{N}, 0 \leq k < n_0$. If D is a component of $C_{\bar{c}} \cap I_{n,m}^k$, then the closure of D is an arc and D contains exactly one element of $\Phi_{p,k}$.*

If H is p -pseudosymmetric or p -symmetric, then n is even and the center of H , denoted $\bar{\kappa}_H$, is the point $\bar{h}_{\frac{n}{2}}$.

Remark 3.17. Fix $p \in \mathbb{N}$ and let $H \subset C_{\bar{c}}$ be an arc. If H is p -pseudosymmetric, then H is q -pseudosymmetric for all $q < p$. If H is p -symmetric, then H is q -symmetric for all $q \in \mathbb{N}$ such that $qn_0 < pn_0 + L_p(\bar{\kappa}_H)$.

Proposition 3.18. [14, Proposition 34] *Let $p \in \mathbb{N}$ and $\bar{w} \in W_p \setminus \{\bar{c}\}$ such that $L_p(\bar{w}) \neq 0$. Let H be the union of all p -symmetric arcs with center \bar{w} . There exists a p -wrapping point $\bar{v} \in H$ such that $L_p(\bar{v}) > L_p(\bar{w})$. Furthermore, \bar{v} is an end point of H .*

Remark 3.19. Let H be a p -symmetric arc in $C_{\bar{c}}$ and let $L = L_p(\bar{\kappa}_H)$. Proposition 3.18 implies that all the interior points in H have p -levels smaller than L , hence $\pi_{pn_0+L}|_H$ is a homeomorphism.

Definition 3.20. The set $\Phi_{p,0}$ partitions the component of \bar{c} in countably many arcs called p -gaps.

For any p -gap H , $c \notin \pi_{pn_0}(\text{Int}(H))$ and $\pi_{pn_0}(\partial H) = \{c\}$. The intersection of any two p -gaps is at most one point.

Lemma 3.21. *For any $p \in \mathbb{N}$, a p -gap is p -symmetric.*

Proof. Fix $p \in \mathbb{N}$. Let H be a p -gap and $\partial H = \{\bar{y}, \bar{z}\}$. Let $\bar{x} \in \text{Int}(H)$ be a p -wrapping point with largest p -level, say L . Suppose H is not p -symmetric. Then $f_s(\pi_{pn_0+L}(\bar{y})) \neq f_s(\pi_{pn_0+L}(\bar{z}))$, hence there is a p -wrapping point $\bar{w} \in \text{Int}(H)$ such that $f_s(\pi_{pn_0+L}(\bar{w}))$ is equal to either $f_s(\pi_{pn_0+L}(\bar{y}))$ or $f_s(\pi_{pn_0+L}(\bar{z}))$. This implies that $\pi_{pn_0}(\bar{w}) = c$ which contradicts H being a p -gap. \square

The proof of the previous Lemma is longer than the one given by Kailhofer, but it is self-contained.

Definition 3.22. Fix $p, q \in \mathbb{N}$. Let G be a p -gap with $G \cap W_p = \{\bar{g}_0, \bar{g}_1, \dots, \bar{g}_n\}$ and H be a q -gap with $H \cap W_q = \{\bar{h}_0, \bar{h}_1, \dots, \bar{h}_m\}$. The gaps G and H are of the same type if $n = m$ and $\pi_{pn_0}(\bar{g}_i) = \pi_{qn_0}(\bar{h}_i)$ for all $0 \leq i \leq n$.

Proposition 3.23. [14, Proposition 41] *Fix $p, q \in \mathbb{N}$. Let G be a p -gap and H a q -gap. If $L_p(\bar{\kappa}_H) = L_q(\bar{\kappa}_G)$, then G and H are of the same type.*

Definition 3.24. Fix $p \in \mathbb{N}$ and let G be a p -gap. The arcs between two consecutive p -wrapping points in G are called *legs* of G .

The first p -gap in the component of \bar{c} is denoted F_p .

Lemma 3.25. *Fix $p \in \mathbb{N}$ and let G be a p -gap. Then*

- (1) *The first leg of G contains a $(p-1)$ -gap [14, Lemma 46]*
- (2) *The first $(p-1)$ -gap in G is of the same type as F_p [14, Prop. 47].*

Definition 3.26. Fix $p \in \mathbb{N}$. Define $\varphi = L_p(\bar{\kappa}_{F_p})$.

Remark 3.27. Since the type of F_p does not depend on p , φ does not depend on p . Since F_p is contained in the first leg of F_{p+1} , the center of F_p is not a $(p+1)$ -wrapping point, hence $\varphi = L_p(\bar{\kappa}_{F_p}) < n_0$. Note also that $\pi_{pn_0}(\bar{\kappa}_{F_p}) = c_\varphi$.

Now, let us consider a homeomorphism $h : (I, f) \rightarrow (I, f)$ with $h(\bar{c}) = \bar{c}$. (If $h(\bar{c}) = \bar{c}_i$, where $0 < i < n_0$, consider the map $\bar{h} = \sigma^{-i} \circ h$.)

Fix $m, n, p, q \in \mathbb{N}$ such that $h(\mathcal{L}_{qn_0, n}) \prec \mathcal{L}_{pn_0, m}$. If $h(\bar{c}_j) = \bar{c}_i$ for $0 \leq i, j < n_0$, then $h(l_{qn_0, n}^{c_j}) \subset l_{pn_0, m}^{c_i}$. This implies that $h(\Phi_{q, j}) \subset l_{pn_0, m}^{c_i}$. By Proposition 3.13, every component of $l_{qn_0, n}^{c_j}$ contains exactly one element of $\Phi_{q, j}$. Since two consecutive points of Φ_q lie in two different links, each component of $l_{pn_0, m}^{c_i}$ contains at most one element of $h(\Phi_{q, j})$. Thus, h induces a one-to-one map $h_{q, p} : \Phi_q \rightarrow \Phi_p$, defined as follows.

Definition 3.28. Fix $m, n, p, q \in \mathbb{N}$ such that $h(\mathcal{L}_{qn_0, n}) \prec \mathcal{L}_{pn_0, m}$. If $\bar{w} \in \Phi_{q, j}$ and $h(\bar{c}_j) = \bar{c}_i$ for $0 \leq i, j < n_0$, then $h_{q, p}(\bar{w})$ is defined as the element of $\Phi_{p, i}$ that lies in the same component of $l_{pn_0, m}^{c_i}$ as $h(\bar{w})$.

If G is an arc in the composant of \bar{c} with $\partial G = \{\bar{x}, \bar{y}\} \subset \Phi_q$, let $\tilde{h}_{q, p}(G)$ be the arc between $h_{q, p}(\bar{x})$ and $h_{q, p}(\bar{y})$.

Theorem 3.29. [14, Corollary 67] *Fix positive integers m, n, p, q such that $h(\mathcal{L}_{qn_0, n}) \prec \mathcal{L}_{pn_0, m}$. If H is a q -pseudosymmetric arc in the composant of \bar{c} with $\partial H \subset \Phi_q$, then $\tilde{h}_{q, p}(H)$ is p -pseudosymmetric.*

Lemma 3.30. [14, Lemma 68] *Let $p \in \mathbb{N}$. Let G and H be distinct p -pseudosymmetric arcs in the composant of \bar{c} such that $\bar{c} \in G$ and $\bar{c} \in H$. Then $G \subset H$ if and only if $L_p(\bar{\kappa}_G) < L_p(\bar{\kappa}_H)$.*

Theorem 3.31. [14, Corollary 71] *Fix $m, n, p, q, u, v \in \mathbb{N}$ such that $h(\mathcal{L}_{qn_0, n}) \prec \mathcal{L}_{pn_0, m} \prec h(\mathcal{L}_{un_0, v})$. If $\tilde{h}_{q, p}(F_q) = F_t$ for some $t \in \mathbb{N}$, then $h_{q, p}(\Phi_{q+k, 0}) = \Phi_{t+k, 0}$ for all $k \in \mathbb{N}_+$.*

4. MAIN RESULT

The following lemma is a well-known result (see Brucks and Diamond [9] and Brucks and Bruin [8]).

Lemma 4.1. *Suppose that A is a proper subcontinuum of X_s . There is a nonnegative integer k such that $\pi_k|_A$ is a homeomorphism. In*

particular, A is an arc. Moreover, for any two points $\bar{x}, \bar{y} \in A$, $B(\bar{x})$ and $B(\bar{y})$ agree after the first k entries.

Proof. If there is a nonnegative integer m such that for each $j > m$, $c \notin \pi_j(A)$, then $f_s|_{\pi_j(A)}$ is a homeomorphism for each $j > m$, and the conclusion follows easily. So, we may assume that $c \in \pi_j(A)$ for arbitrarily large integer j . Since c is periodic under f_s , it follows that for each nonnegative integer j , $\pi_j(A)$ contains at least one of the points $c_0, c_1, \dots, c_{n_0-1}$. Since f_s is locally eventually onto, there is a nonnegative integer k such that for each integer $j > k$, $\pi_j(A)$ contains exactly one of the points $c_0, c_1, \dots, c_{n_0-1}$. We complete the proof by showing that for each $j > k$ the element of $\{c_0, c_1, \dots, c_{n_0-1}\}$ which is in $\pi_j(A)$ is an endpoint of $\pi_j(A)$. In particular, for each $j > k$, c is not in the interior of $\pi_j(A)$, so $f_s|_{\pi_j(A)}$ is a homeomorphism.

Suppose $j > k$. Since c is periodic, there is an integer $m > j$ such that $c_1 \in \pi_m(A)$. Since c_1 is an endpoint of I_s , it follows that c_1 is an endpoint of $\pi_m(A)$. Since c is not in the interior of $\pi_m(A)$, $f_s|_{\pi_m(A)}$ is a homeomorphism. Thus c_2 is an endpoint of $\pi_{m-1}(A)$. If $m-1 > j$, we may repeat this argument and conclude that c_3 is an endpoint of $\pi_{m-2}(A)$. By repeating the argument inductively, it follows that the element of $\{c_0, c_1, \dots, c_{n_0-1}\}$ which is in $\pi_j(A)$ is an endpoint of $\pi_j(A)$. \square

Let $\bar{x} \in X_s$. By Remark 3.6, there is a natural order on the elements of the compositant of \bar{x} . $C_{\bar{x}}$ with the order topology will be called the *unravellled* compositant of \bar{x} .

Remark 4.2. Note that the map $h_{q,p}$ is order-preserving.

We will put a specific metric on the unravellled compositant which is derived from the inverse limit system. Let \bar{x}, \bar{y} be in the same compositant $C \subset X_s$. Then there is an arc $A \subset C$ with endpoints \bar{x} and \bar{y} . By Lemma 4.1, there is a nonnegative integer k such that $\pi_k|_A$ is a homeomorphism. Define $\bar{d}(\bar{x}, \bar{y}) = s^k |\pi_k(\bar{x}) - \pi_k(\bar{y})|$. Note that if $m \geq k$, then $\bar{d}(\bar{x}, \bar{y}) = s^m |\pi_m(\bar{x}) - \pi_m(\bar{y})|$. Thus, \bar{d} is well-defined for every pair of points in the same compositant C . We may consider (C, \bar{d}) either as \mathbb{R}_+ or \mathbb{R} depending on whether C has an endpoint or not.

Theorem 4.3. *Let $h_1, h_2 : X_s \rightarrow X_s$ be homeomorphisms which map $C_{\bar{c}}$ to itself. Suppose that there is $M \in \mathbb{N}_+$ such that $\bar{d}(h_1(\bar{z}), h_2(\bar{z})) \leq M$ for all $\bar{z} \in C_{\bar{c}}$. Then $h_1(C_{\bar{x}}) = h_2(C_{\bar{x}})$ for all $\bar{x} \in X_s$. Furthermore, for every $\bar{x} \in X_s$, $\bar{d}(h_1(\bar{x}), h_2(\bar{x})) \leq M$.*

Proof. Let $\bar{x} \in X_s$. If $\bar{x} \in C_{\bar{c}}$, then $h_1(C_{\bar{c}}) = h_2(C_{\bar{c}})$ by assumption.

Suppose that $\bar{x} \notin C_{\bar{c}}$. Since $C_{\bar{c}}$ is dense in X_s , there is a sequence $\{\bar{x}_n\}_{n=1}^{\infty}$ in $C_{\bar{c}}$ which converges to \bar{x} . Then $h_i(\bar{x}_n)$ converges to $h_i(\bar{x})$ for $i = 1, 2$. Consider the unique arcs $A_n \subset C_{\bar{c}}$ with endpoints $h_1(\bar{x}_n)$ and $h_2(\bar{x}_n)$. By assumption the length of A_n in $C_{\bar{c}}$ is less than or equal to M . Let $k > 0$ be an integer such that $M \leq s^k \cdot (c_1 - c_2)$. Then $\pi_k(A_n)$ is a proper subset of $[c_2, c_1]$ since the length of $\pi_k(A_n)$ is less than $\frac{M}{s^k} < c_1 - c_2$.

Let $\mathcal{C}(X_s)$ denote the space of nonempty subcontinua of X_s with the Hausdorff metric. Then $\pi_k : X_s \rightarrow [c_2, c_1]$ induces a continuous map $\pi_k : \mathcal{C}(X_s) \rightarrow \mathcal{C}([c_2, c_1])$. Since $\mathcal{C}(X_s)$ is a compact metric space, the sequence $\{A_n\}$ has a convergent subsequence $\{A_{n_j}\}$ converging to $A \in \mathcal{C}(X_s)$. Note that $h_i(\bar{x}_{n_j})$ converges to $h_i(\bar{x})$ for $i = 1, 2$. So, $h_i(\bar{x}) \in A$ for $i = 1, 2$. Since $\pi_k : \mathcal{C}(X_s) \rightarrow \mathcal{C}([c_2, c_1])$ is continuous, $\pi_k(A)$ has length at most $\frac{M}{s^k} < c_1 - c_2$. Thus, A must be a proper subcontinuum of X_s . Thus, $h_1(\bar{x})$ and $h_2(\bar{x})$ are in the same component of X_s . This implies that $h_1(C_{\bar{x}}) = h_2(C_{\bar{x}})$.

Since \bar{x} is arbitrary, the above also proves the last statement of the theorem. \square

Recall that the shift homeomorphism, $\sigma : X_s \rightarrow X_s$, is defined by $\sigma((x_0, x_1, \dots)) = (f_s(x_0), x_0, x_1, \dots)$.

Lemma 4.4. *There is a positive integer B such that for any $p \in \mathbb{N}$ the number of legs in a p -gap is at most B .*

Proof. Since f_s is locally eventually onto, there is $K \in \mathbb{N}$ such that if J is an interval which contains two points in the orbit of c , then $f_s^K(J) = I_s$.

Fix $p \in \mathbb{N}$. Let H be a p -gap and $L = L_p(\bar{\kappa}_H)$. Let H_R be the arc connecting the center of H and the right endpoint of H . Then $\pi_{pn_0+L}(H_R)$ is an interval with one endpoint c and $\pi_{pn_0+L}|_{H_R}$ is a homeomorphism. Note also that $f_s^L(\pi_{pn_0+L}(H_R)) = \pi_{pn_0}(H_R) = \pi_{pn_0}(H)$ is an interval with one endpoint c .

If $f_s^L|_{\pi_{pn_0+L}(H_R)}$ is linear, then there are at most two legs in H . Suppose $f_s^L|_{\pi_{pn_0+L}(H_R)}$ is not linear. There is a least $n \in \mathbb{N}$ such that $f_s^n(\pi_{pn_0+L}(H_R))$ contains two points in the orbit of c . This implies that H has at most 2^{L-n} legs. Since $\pi_{pn_0}(H_R)$ is a proper subset of $[c_2, c_1]$, we have that $L - n < K$, hence the number of legs in H is at most 2^K . \square

Remark 4.5. One might be led to conjecture that the number of distinct types of p -gaps in $C_{\bar{c}}$ is $n_0 - 1$ for any $p \in \mathbb{N}$. However, for s such that the kneading sequence is $RLLRRRLC$, there are at least eight p -gaps.

Theorem 4.6. *Let $h : X_s \rightarrow X_s$ be a homeomorphism which maps each endpoint, \bar{c}_i for $0 \leq i \leq n_0 - 1$, to itself. Then there exists an integer N and a positive number M such that $\bar{d}(h(\bar{x}), \sigma^N(\bar{x})) < M$ for all $\bar{x} \in C_{\bar{c}}$.*

Proof. For convenience of referral, two points of any subset of $C_{\bar{c}}$ are said to be adjacent in that set if the arc connecting those two points contains no other points of that set. Note that if \bar{x} and \bar{y} are adjacent in Φ_p , then $\bar{d}(\bar{x}, \bar{y}) < s^{pn_0}$.

By Lemma 3.10 (5), given $u, v \in \mathbb{N}$, there are $p, m \in \mathbb{N}$ such that $\mathcal{L}_{pn_0, m} \prec h(\mathcal{L}_{un_0, v})$, and there are $q, r \in \mathbb{N}$ such that $h(\mathcal{L}_{qn_0, r}) \prec \mathcal{L}_{pn_0, m}$. Fix $p, m, q, r, u, v \in \mathbb{N}$ such that $h(\mathcal{L}_{qn_0, r}) \prec \mathcal{L}_{pn_0, m} \prec h(\mathcal{L}_{un_0, v})$.

Since F_q is q -symmetric, by Theorem 3.29, $\tilde{h}_{q,p}(F_q)$ is p -pseudosymmetric and $h_{q,p}(\bar{\kappa}_{F_q}) = \bar{\kappa}_{\tilde{h}_{q,p}(F_q)}$. Let $L = L_p(\bar{\kappa}_{\tilde{h}_{q,p}(F_q)})$ and t be the largest positive integer with the property $tn_0 < pn_0 + L$. Obviously $t \geq p$. Since $h(\bar{c}_i) = \bar{c}_i$ for all $0 \leq i < n_0$, we have that $\pi_{pn_0}(\bar{\kappa}_{\tilde{h}_{q,p}(F_q)}) = \pi_{qn_0}(\bar{\kappa}_{F_q})$, which, by Remark 3.27, is equal to c_φ . From Definition 3.26, we have that $L_t(\bar{\kappa}_{F_t}) = \varphi$. Thus

$$L_p(\bar{\kappa}_{F_t}) = L_t(\bar{\kappa}_{F_t}) + (t - p)n_0 = \varphi + (t - p)n_0 = L = L_p(\bar{\kappa}_{\tilde{h}_{q,p}(F_q)}).$$

Hence, by Lemma 3.30,

$$F_t = \tilde{h}_{q,p}(F_q).$$

By Theorem 3.31 it follows that for every $k \in \mathbb{N}_+$,

$$h_{q,p}(\Phi_{q+k,0}) = \Phi_{t+k,0}.$$

By Remark 4.2, $h_{q,p}$ is order-preserving on the set $\Phi_{t+k,0}$ for any $k \in \mathbb{N}_+$. From the definition of σ and since $\sigma^{(t-q)n_0}$ is order preserving as well, it is easy to see that $\sigma^{(t-q)n_0}(\Phi_{q+k,0}) = \Phi_{t+k,0}$ for any $k \in \mathbb{N}_+$. Therefore, for every $\bar{x} \in \Phi_{q+1,0}$, we have that $h_{q,p}(\bar{x}) = \sigma^{(t-q)n_0}(\bar{x})$. By Definition 3.28, for any $\bar{x} \in \Phi_{q+1,0}$, $h(\bar{x})$ lies between two adjacent p -special points, one of which is $h_{q,p}(\bar{x})$. Since the distance between two special points is less than s^{pn_0} , we have that

$$\bar{d}(h(\bar{x}), \sigma^{(t-q)n_0}(\bar{x})) = \bar{d}(h(\bar{x}), h_{q,p}(\bar{x})) < s^{pn_0}$$

for any $\bar{x} \in \Phi_{q+1,0}$.

The length of any leg of a $(t+1)$ -gap is bounded by $s^{(t+1)n_0}$ as $\pi_{(t+1)n_0}$ restricted to the leg is a homeomorphism. Since the number of legs in a $(t+1)$ -gap is bounded by B by Lemma 4.4, it follows that the length of a $(t+1)$ -gap is bounded. Namely, if \bar{x} and \bar{y} are the endpoints of a $(t+1)$ -gap, then $\bar{d}(\bar{x}, \bar{y}) < l$, where $l = B \cdot s^{(t+1)n_0}$.

Let $N = (t - q)n_0$ and $M = s^{pn_0} + l$. Let $\bar{x} \in C_{\bar{c}}$. If $\bar{x} \in \Phi_{q+1,0}$, then

$$\bar{d}(h(\bar{x}), \sigma^N(\bar{x})) < s^{pn_0} < M.$$

If $\bar{x} \notin \Phi_{q+1,0}$, then there exist \bar{y} and \bar{z} adjacent in $\Phi_{q+1,0}$ such that the $(q+1)$ -gap whose end points are \bar{y} and \bar{z} , contains \bar{x} . As $\bar{y}, \bar{z} \in \Phi_{q+1,0}$, we have that $h_{q,p}(\bar{y}) = \sigma^N(\bar{y})$ and $h_{q,p}(\bar{z}) = \sigma^N(\bar{z})$. Since h is a homeomorphism, the arc connecting $h(\bar{y})$ and $h(\bar{z})$ contains $h(\bar{x})$. Similarly, the arc connecting $\sigma^N(\bar{y})$ and $\sigma^N(\bar{z})$ contains $\sigma^N(\bar{x})$. Thus,

$$\bar{d}(h(\bar{x}), \sigma^N(\bar{x})) < \max\{\bar{d}(\sigma^N(\bar{x}), h(\bar{y})), \bar{d}(\sigma^N(\bar{x}), h(\bar{z}))\}.$$

Since σ^N sends a $(q+1)$ -gap to a $(t+1)$ -gap,

$$\bar{d}(\sigma^N(\bar{z}), \sigma^N(\bar{y})) < l.$$

Since $h(\bar{y})$ lies between two adjacent p -special points, one of which is $\sigma^N(\bar{y})$,

$$\bar{d}(h(\bar{y}), \sigma^N(\bar{y})) < s^{pn_0}.$$

Therefore

$$\begin{aligned} \bar{d}(\sigma^N(\bar{x}), h(\bar{y})) &\leq \bar{d}(\sigma^N(\bar{x}), \sigma^N(\bar{y})) + \bar{d}(\sigma^N(\bar{y}), h(\bar{y})) \\ &< \bar{d}(\sigma^N(\bar{z}), \sigma^N(\bar{y})) + \bar{d}(\sigma^N(\bar{y}), h(\bar{y})) \\ &< l + s^{pn_0} = M. \end{aligned}$$

Similarly, $\bar{d}(\sigma^N(\bar{x}), h(\bar{z})) < M$. Thus,

$$\bar{d}(\sigma^N(\bar{x}), h(\bar{x})) < M.$$

□

Corollary 4.7. *Let $h : X_s \rightarrow X_s$ be a homeomorphism which maps each endpoint, \bar{c}_i for $0 \leq i \leq n_0 - 1$, to itself. Then there is an integer N such that $h(C_{\bar{x}}) = \sigma^N(C_{\bar{x}})$ for all $\bar{x} \in X_s$.*

We adopt the following notation. If k is a positive integer, we let $F(f_s^k)$ denote the number of fixed points of f_s^k in I_s .

Lemma 4.8. *Suppose $\sqrt{2} < s < t < 2$ and for each of the tent maps f_s and f_t , the critical point is periodic with period n_0 . Then*

$$F(f_s^{n_0}) < F(f_t^{n_0}).$$

Proof. Since each point in the orbit of the critical point is a fixed point of $f_t^{n_0}$ and the same holds for $f_s^{n_0}$, we need only consider fixed points of $f_t^{n_0}$ and $f_s^{n_0}$ which are not in the orbit of the critical point. Suppose y is a such a fixed point of $f_s^{n_0}$. Then the forward itinerary $I(y) = S^\infty$ for some sequence S of length n_0 of L 's and R 's. By [11, Theorem II.3.8] there is a fixed point z of $f_t^{n_0}$ with $I(z) = S^\infty$.

We complete the proof by showing that there is a sequence T of length n_0 of L 's and R 's such that there is a fixed point of $f_t^{n_0}$ with itinerary T^∞ but no fixed point of $f_s^{n_0}$ has this itinerary. The itinerary of $f_s(c)$ is of the form DC where D is a sequence of length $n_0 - 1$ of L 's and R 's. We can modify f_s to construct a unimodal map g with the same kneading sequence as f_s such that on the orbit of c , $g = f_s$, but for a small nondegenerate interval J with right endpoint $g(c)$ each point of J is periodic under g with period n_0 . The itinerary of a point in J other than $g(c)$ is of the form T^∞ , where T is a sequence of L 's and R 's of length n_0 . Moreover, T is shift maximal and T is either DR or DL . It follows that no fixed point of $f_s^{n_0}$ has itinerary T^∞ , but by [11, Theorem II.3.8] there is a fixed point of $f_t^{n_0}$ with itinerary T^∞ . \square

Lemma 4.9. *Let $s \in (\sqrt{2}, 2)$. For any integer m , the number of composants mapped to themselves by σ_s^m is $F(f_s^m)$.*

Proof. Without loss of generality we may assume that $m > 0$.

Our first claim is that there is at most one periodic point in each compositant of X_s .

Suppose not. There is a compositant C in X_s with at least two distinct periodic points of σ_s , say $\bar{x} = (x_0, x_1, x_2, \dots)$ and $\bar{y} = (y_0, y_1, y_2, \dots)$. Then there is a positive integer k such that $\sigma_s^k(\bar{x}) = \bar{x}$ and $\sigma_s^k(\bar{y}) = \bar{y}$. In particular, $f_s^k(x_{k-1}) = x_{k-1}$ and $f_s^k(y_{k-1}) = y_{k-1}$. Note that $x_{k-1} \neq y_{k-1}$. Since \bar{x} and \bar{y} are in the same compositant, they have eventually the same backward itinerary. Thus, there is some positive integer N , such that for all $n \geq N$, x_n and y_n are on the same side of c . (By this we mean either both $x_n \geq c$ and $y_n \geq c$, or both $x_n \leq c$ and $y_n \leq c$.) Since \bar{x} and \bar{y} are periodic, it follows that for each integer $j = 0, 1, \dots, k-1$, x_j and y_j are on the same side of c . Hence for each integer $j \geq 0$, $f_s^j(x_{k-1})$ and $f_s^j(y_{k-1})$ are on the same side of c . This is impossible since $x_{k-1} \neq y_{k-1}$ and f_s is a tent map with a slope $s > 1$. This proves the first claim.

Our next claim is that each compositant mapped to itself by σ_s^m contains a fixed point of σ_s^m .

Suppose C is a compositant in X_s with $\sigma_s^m(C) = C$. If $\bar{x}, \bar{y} \in C$, then $\bar{d}(\sigma_s^m(\bar{x}), \sigma_s^m(\bar{y})) = s^m \bar{d}(\bar{x}, \bar{y})$. Hence σ_s^{-m} is a contraction and has a fixed point. This proves our second claim.

It follows from the claims that the number of composants mapped to themselves by σ_s^m is equal to the number of points fixed by σ_s^m . By definition of σ_s , this number is equal to the number of fixed points of f_s^m . By definition, this number is $F(f_s^m)$. \square

Theorem 4.10. *Let $s, t \in (\sqrt{2}, 2)$ such that f_s and f_t have periodic critical points. Then X_s and X_t are homeomorphic if and only if $s = t$.*

Proof. It is well-known that if X_s and X_t are homeomorphic and the critical point of f_s is periodic, then the critical point of f_t is also periodic with the same period. Thus, there is no loss in generality in assuming that the period of the critical points for f_s and f_t are both periodic of period n_0 . Suppose $s < t$. Assume there is a homeomorphism $g : X_s \rightarrow X_t$.

Consider the map $h : X_t \rightarrow X_t$, $h = g \circ \sigma_s^{n_0} \circ g^{-1}$. Then h is a homeomorphism, and it maps each composant with an endpoint to itself. By Theorem 4.7, there is an integer N such that $h(C_{\bar{x}}) = \sigma_t^N(C_{\bar{x}})$ for all $\bar{x} \in X_t$. Since $\sigma_s^{n_0}$ maps each composant with an endpoint to itself, the same is true for h . Thus σ_t^N also maps each endpoint of X_t to itself. By Lemma 4.9, the total number of composants mapped to themselves by $\sigma_s^{n_0}$ and hence by h is $F(f_s^{n_0})$. Thus, the same is true for σ_t^N . It follows that $|N| \geq n_0$ and n_0 divides $|N|$. But the number of composants mapped to themselves by σ_t^N is $F(f_t^N)$. Thus

$$F(f_s^{n_0}) = F(f_t^N).$$

On the other hand, since $s < t$, by Lemma 4.7, $F(f_s^{n_0}) < F(f_t^{n_0})$. Hence

$$F(f_t^N) < F(f_t^{n_0}),$$

which is a contradiction. \square

5. PROOF OF THE ISOTOPY THEOREM

In this section we prove the Isotopy Theorem stated in the Introduction. We have already shown that for any homeomorphism $g : X_s \rightarrow X_s$ such that g leaves all the endpoints $\{\bar{c}_i\}_{i=0}^{n_0-1}$ fixed, there is a k such that g and σ^k permute the composants of X_s in precisely the same way. It is clear that for any homeomorphism $h : X_s \rightarrow X_s$, there is an $n > 0$ such that h^n leaves \bar{c}_i fixed for $i = 0, 1, 2, \dots, n_0 - 1$. Let k be the integer such that h^n and σ^k permute the composants of X_s the same way. We now show that h^n and σ^k are actually isotopic.

The following lemma is a well-known fact for the experts in this field.

Lemma 5.1. *Suppose A is an arc in X_s not containing any endpoint of X_s . Then there is a neighborhood V of A homeomorphic to $C \times I$, where C is a Cantor set. The boundary of V will correspond to $C \times \{0, 1\}$. Moreover, there is a positive integer m such that π_m maps each component of V homeomorphically onto its image in I_m .*

Proof. Let A be an arc in X_s not containing any endpoint of X_s . By the proof of Lemma 4.1, there is a positive integer m such that for each $k \geq m$, none of the points c_i are in $\pi_k(A)$. In particular, $\pi_k|_A$ is a homeomorphism. Let $\bar{z} \in A$, $\bar{z} = (z_0, z_1, \dots, z_m, \dots)$. Let $C = \{\bar{y} \in X_s | y_0 = z_0, y_1 = z_1, \dots, y_m = z_m\}$. Then C is compact, totally disconnected, and every point is a limit point. Therefore C is a Cantor set.

Let $J_m = \pi_m(A)$. Fix $\bar{y} \in C$. Since $J_m \cap \{c_0, c_1, \dots, c_{n_0-1}\} = \emptyset$, for this $\bar{y} \in C$, there is a sequence of intervals $\{J_i\}_{i=m}^\infty$ such that $y_i \in J_i$ for each $i \geq m$ and $f_s(J_{i+1}) = J_i$ for each $i \geq m$.

We can extend the sequence $\{J_i\}_{i=m}^\infty$ to $\{J_i\}_{i=0}^\infty$ by $J_0 = f_s^m(J_m)$, $J_1 = f_s^{m-1}(J_m)$, \dots , $J_{m-1} = f_s(J_m)$. Then for all $i = 0, 1, 2, \dots$, $f_s(J_{i+1}) = J_i$ and $y_i \in J_i$.

Now J_m is homeomorphic to $J(\bar{y}) = \varprojlim \{J_i, f_s\} \subset X_s$ by the projection $\pi_m : X_s \rightarrow I_m$. Let $g_{\bar{y}} : J_m \rightarrow J(\bar{y})$ be the inverse of this homeomorphism.

Finally, let

$$\xi : C \times J_m \rightarrow X_s$$

be defined by $\xi(\bar{y}, t) = g_{\bar{y}}(t)$. Then $V = \xi(C \times J_m)$ is the required neighborhood. \square

Remark 5.2. In the above proof let x be in the Cantor set C . Note that the points \bar{z}_0 and \bar{z}_1 corresponding to $(x, 0)$ and $(x, 1)$, respectively, are in the same composant. Moreover, $\bar{d}(\bar{z}_0, \bar{z}_1)$ does not depend on x . That is, the length of the components of V are all the same in the \bar{d} metric.

Definition 5.3. Suppose $\{D_i\}_{i=1}^\infty$ is a sequence of nonempty compact subsets of a metric space Y . Then $\limsup\{D_i\} = \{y \in Y | \text{for some subsequence } \{D_{i_j}\} \text{ and } y_{i_j} \in D_{i_j}, \lim_{j \rightarrow \infty} y_{i_j} = y\}$.

We let $\bar{\ell}$ denote the length of an arc under the metric \bar{d} .

Lemma 5.4. Let $\{A_i\}_{i=1}^\infty$ be a sequence of arcs in X_s . Suppose that $A_i \rightarrow B$ in the Hausdorff metric. Suppose also that there is an $M > 0$ such that $\bar{\ell}(A_i) \leq M$ for all i . Then B is an arc and $\bar{\ell}(B) \leq M$.

Proof. Let N be such that $M \cdot s^{-N} \leq \frac{\ell(I_N)}{2} = \frac{\ell(I_s)}{2} = \frac{f(c) - f^2(c)}{2}$. Then for every k , $\pi_N(A_k)$ has length at most $\frac{\ell(I_N)}{2}$. Since $A_k \rightarrow B$, $\pi_N(A_k) \rightarrow \pi_N(B)$. In particular, $\pi_N(B)$ is a proper subset of I_N . It follows that B is a proper subcontinuum of X_s . By Lemma 4.1, B is an arc.

Finally, choose j large enough so that $\pi_j|_B$ is a homeomorphism. Then for each k , $s^j \ell(\pi_j(A_k)) \leq M$, and hence $\bar{\ell}(B) = s^j \ell(\pi_j(B)) \leq M$. \square

Lemma 5.5. *Let $\{A_i\}_{i=1}^\infty$ be a sequence of arcs in X_s with endpoints \bar{a}_i and \bar{b}_i , respectively. Suppose that there is a positive number M such that $\bar{d}(\bar{a}_i, \bar{b}_i) \leq M$ each i . Suppose also that the sequence $\{\bar{a}_i\}_{i=1}^\infty$ converges to some $\bar{a} \in X_s$. Then $B = \limsup\{A_i\}$ is an arc in X_s and $\bar{\ell}(B) \leq 2 \cdot M$.*

Proof. Let $\bar{x} \in B = \limsup\{A_i\}$. Then there is a subsequence $\{A_{i_j}\}_{j=1}^\infty$ such that $A_{i_j} \rightarrow D \subset B$ in the Hausdorff metric with $\bar{x} \in D$. By Lemma 5.4, $\bar{\ell}(D) \leq M$. So, $\bar{d}(\bar{a}, \bar{x}) \leq M$. From this it follows that B must be a proper subcontinuum and thus an arc with the $\bar{\ell}$ -length of B at most $2 \cdot M$. \square

Lemma 5.6. *Let $\{A_i\}_{i=1}^\infty$ be a sequence of arcs in X_s with endpoints \bar{a}_i and \bar{b}_i , respectively. Suppose that $\bar{a}_i \rightarrow \bar{a}$ and $\bar{b}_i \rightarrow \bar{b}$. Suppose also that there is an $M > 0$ such that $\bar{d}(\bar{a}_i, \bar{b}_i) \leq M$ for all i . Then \bar{a} and \bar{b} are in the same composant of X_s . Let A denote the unique arc with endpoints \bar{a} and \bar{b} . Suppose that $\limsup A_i$ does not contain an endpoint of X_s . Then $A_i \rightarrow A$ in the Hausdorff metric.*

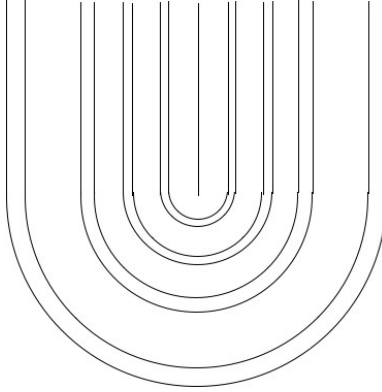
Proof. Suppose that $\{A_i\}_{i=1}^\infty$ is a sequence of arcs in X_s with endpoints \bar{a}_i and \bar{b}_i , respectively. Suppose that $\bar{a}_i \rightarrow \bar{a}$ and $\bar{b}_i \rightarrow \bar{b}$. Suppose also that there is an $M > 0$ such that $\bar{d}(\bar{a}_i, \bar{b}_i) \leq M$ for all i . By the proof of Theorem 4.3, \bar{a} and \bar{b} are in the same composant of X_s and $\bar{d}(\bar{a}, \bar{b}) \leq M$. Let A be the unique arc with endpoints \bar{a} and \bar{b} . Let $B = \limsup\{A_i\}$. By Lemma 5.5, B is an arc with $\bar{\ell}(B) \leq 2 \cdot M$. By assumption B does not contain an endpoint of X_s . So, let V be the neighborhood of B given by Lemma 5.1. Then there is an N such that for all $n \geq N$, $A_n \subset V$ since B is the $\limsup\{A_i\}$. Therefore for each $i \geq N$, $A_i \subset \{\bar{y}_i\} \times I$ for some $\bar{y}_i \in C$. Furthermore, A_i is the subinterval of $\{\bar{y}_i\} \times I$ joining the endpoints. Let $\bar{a}, \bar{b} \in \{\bar{y}\} \times I$. Then

$$\lim_{i \rightarrow \infty} A_i = A = B \subset \{\bar{y}\} \times I.$$

\square

Definition 5.7. Consider $J \times C \subset \mathbb{R}^2$ where C is the standard middle-third Cantor set and $J = [-1, 1]$. Define an equivalence relation \sim on $J \times C$ by $(t, 1) \sim (-t, 1)$ for all $t \in J$. Let $Q = J \times C / \sim$. We will think of Q as the union of two sets E and F defined in the following way. Let $E = (C \cup (-C)) \times [1, 2] \subset \mathbb{R}^2$. Let F be a Cantor set of semicircles with centers at $(0, 1)$ joining each point of $C \times \{1\}$ with the corresponding point of $-C \times \{1\}$. See Figure 2.

Now in the Cantor set C , let C_0 be the set of points in C in the interval between 0 and $\frac{1}{3}$, inclusively. Let C_1 be the set of points in C between $\frac{2}{3}$ and $\frac{7}{9}$, inclusively. For higher k , C_k be the subset of

FIGURE 3. Neighborhood of \bar{c}

C containing the points between $\frac{3^k-1}{3^k}$ and $\frac{3^{k+1}-2}{3^{k+1}}$, inclusively. Then $\{C_k\}_{i=0}^{\infty}$ is a disjoint collection of Cantor sets with $C_k \rightarrow 1$ in C and $C = \bigcup_{i=0}^{\infty} C_i \cup \{1\}$.

Lemma 5.8. *Suppose that A is an arc in X_s which contains an endpoint of X_s . Then there is a neighborhood V of A homeomorphic to $Q = C \times J / \sim$.*

Proof. There is no loss of generality in assuming that \bar{c} is the endpoint of A . Let A_w be the set of points in $C_{\bar{c}}$ with the same backward itinerary, w , and such that $\bar{c} \in A_w$. We know that A_w is a non-degenerate arc with \bar{c} as one endpoint and some \bar{z} as the other endpoint, and that $\pi_0|_{A_w}$ is a homeomorphism onto $[c, c_i]$ or $[c_i, c]$ for some $1 \leq i \leq n_0$.

Define $D_0 = \{\bar{x} \in X_s | \pi_{n_0}(\bar{x}) = c \text{ and } \pi_i(\bar{x}) \neq c \text{ for all } i > n_0\}$. The set D_0 is compact, totally disconnected and every point is a limit point, so D_0 is a Cantor set.

Let $\bar{x} \in D_0$. Then $\pi_{n_0}(\bar{x}) = c$ and $\pi_i(\bar{x}) \neq c$ for all $i > n_0$. There are two arcs $A_{\bar{x}}$ and $B_{\bar{x}}$ in X_s containing \bar{x} as an endpoint, such that $\pi_{n_0}(A_{\bar{x}}) = \pi_{n_0}(A_w)$, and such that $\pi_{n_0}(A_{\bar{x}})$ and $\pi_{n_0}(B_{\bar{x}})$ are symmetric about c .

Similarly, for any $k \in \mathbb{N} \cup \{0\}$, define $D_k = \{\bar{x} \in X_s | \pi_{(k+1) \cdot n_0}(\bar{x}) = c \text{ and } \pi_i(\bar{x}) \neq c \text{ for all } i > (k+1) \cdot n_0\}$. Then, for any $k \in \mathbb{N} \cup \{0\}$, the set D_k is a Cantor set. For any $\bar{x} \in D_k$, there are two arcs $A_{\bar{x}}$ and $B_{\bar{x}}$ in X_s containing \bar{x} as an endpoint, such that $\pi_{(k+1) \cdot n_0}(A_{\bar{x}}) = \pi_{(k+1) \cdot n_0}(A_w)$, and such that $\pi_{(k+1) \cdot n_0}(A_{\bar{x}})$ and $\pi_{(k+1) \cdot n_0}(B_{\bar{x}})$ are symmetric about c .

Let $V = \bigcup \{A_{\bar{x}} \cup B_{\bar{x}} | \bar{x} \in \bigcup_{k=0}^{\infty} D_k\} \cup A_w$. Let (a, b) be the open interval containing c such that $f^{n_0}((a, b))$ is $[c, c_i]$ or $(c_i, c]$, where $\pi_0(A_w)$ is $[c, c_i]$

or $[c_i, c]$. Then each point of $\pi_{n_0}^{-1}((a, b))$ is in V . Hence every point of A_w except \bar{z} is an interior point of V .

Observe that $D = (\cup_{k=0}^{\infty} D_k) \cup \{\bar{c}\}$.

Define a map $h : V \rightarrow Q$ in the following way. For every $k \in \mathbb{N} \cup \{0\}$, h sends D_k homeomorphically onto C_k . For every $\bar{x} \in D_k$, $A_{\bar{x}}$ is mapped linearly onto $[-1, 0] \times \{h(\bar{x})\}$, and $B_{\bar{x}}$ is mapped linearly onto $[0, 1] \times \{h(\bar{x})\}$, and A_w is mapped linearly to $[-1, 0] \times \{1\}$. Then h is 1-1, continuous and onto, hence it is a homeomorphism.

Now the neighborhood V that we just created may not contain the given arc A . However, for $k > 1$, applying the shift map k times, $\sigma^{k \cdot n_0}(V)$, will create a longer and thinner neighborhood of the same form with $\bigcup_{k=0}^{\infty} \sigma^{k \cdot n_0}(V)$ dense in X_s . Thus, there will be some k for which $\sigma^{k \cdot n_0}(V)$ will contain A . \square

Remark 5.9. In the above proof $\bar{\ell}(A_w) = \bar{\ell}(A_{\bar{x}}) = \bar{\ell}(B_{\bar{x}})$, for every $\bar{x} \in D_k$, for every $k \in \mathbb{N} \cup \{0\}$. Furthermore, there are arbitrarily small neighborhoods of \bar{c} homeomorphic to Q for which this is true.

Theorem 5.10. *Suppose that h_1 and h_2 are homeomorphisms of X_s such that $h_1(\bar{c}) = h_2(\bar{c}) = \bar{c}$. Suppose also that there is an $M > 0$ such that for each $\bar{y} \in C_{\bar{c}}$, $\bar{d}(h_1(\bar{y}), h_2(\bar{y})) \leq M$. Suppose that $\bar{x}_i \rightarrow \bar{x}$ in X_s . Let A_i be the unique arc joining $h_1(\bar{x}_i)$ and $h_2(\bar{x}_i)$. Let A be the unique arc joining $h_1(\bar{x})$ and $h_2(\bar{x})$. Then $A_i \rightarrow A$ in the Hausdorff metric.*

Proof. We assume the hypotheses and notation of the theorem.

Case 1. Suppose that the component containing \bar{x} does not contain an endpoint.

In this case Lemma 5.6 applies since $\limsup\{A_i\}_{i=1}^{\infty}$ must be in the component of \bar{x} which does not contain an endpoint of X_s . Thus, we have $A_i \rightarrow A$ in this case.

Case 2. Now suppose that $\bar{x} \in C_{\bar{c}_i}$ for some i with $\bar{x} \neq \bar{c}_i$.

By Theorem 4.3 $h_1(\bar{x})$ and $h_2(\bar{x})$ are on the same component, and this component must be some $C_{\bar{c}_j}$ for some j . Let $J = [\bar{e}, \bar{c}_j]$ be an arc in $C_{\bar{c}_j}$ such that $h_1(\bar{x}) \in J$, $h_2(\bar{x}) \in J$, $\bar{d}(\bar{e}, h_1(\bar{x})) > M + 1$, $\bar{d}(\bar{e}, h_2(\bar{x})) > M + 1$. Let V be a neighborhood of J as in Lemma 5.8.

Consider the arc $h_1^{-1}(J) \cup h_2^{-1}(J)$ in $C_{\bar{c}_i}$. Let W be a neighborhood of this arc as in Lemma 5.8. By shrinking V in the "vertical" direction if necessary, we may assume that $(h_1^{-1}(V) \cup h_2^{-1}(V)) \subset W$. Let K be a component of V which does not contain \bar{c}_j . By the central point of

K we mean the unique point of K which corresponds to a point of the form $(0, y)$ in $[-1, 1] \times C$ as in Definition 5.7.

We may assume that $\bar{x}_n \in h_1^{-1}(V) \cap h_2^{-1}(V)$ for each n . Since $h_1(\bar{x}_n) \rightarrow h_1(\bar{x})$ and $h_2(\bar{x}_n) \rightarrow h_2(\bar{x})$, it follows from Remark 5.9 that for n sufficiently large, the \bar{d} distance from $h_1(\bar{x}_n)$ to either endpoint of the component of V containing $h_1(\bar{x}_n)$ is greater than $M + 1$. Since $\bar{d}(h_1(\bar{x}_n), h_2(\bar{x}_n)) \leq M$, it follows that $h_1(\bar{x}_n)$ and $h_2(\bar{x}_n)$ lie on the same component of V for n sufficiently large. Without loss of generality, we assume that this holds for each n .

For each positive integer n , let K_n denote the component of V which contains $h_1(\bar{x}_n)$ and $h_2(\bar{x}_n)$, and let \bar{w}_n denote the center point of K_n . Let $\bar{y}_n = h_1^{-1}(\bar{w}_n)$ and $\bar{z}_n = h_2^{-1}(\bar{w}_n)$. Then \bar{y}_n and \bar{z}_n lie on the same component of W as \bar{x}_n . Since $\bar{x}_n \rightarrow \bar{x}$, $\bar{y}_n \rightarrow \bar{c}_i$, and $\bar{z}_n \rightarrow \bar{c}_i$, it follows that for n sufficiently large, \bar{x}_n does not lie between \bar{y}_n and \bar{z}_n on a component of W . Again, we may assume that this holds for each n .

For each positive integer n , let $K_n = [\bar{a}_n, \bar{b}_n]$. We may assume that $\bar{d}(\bar{a}_n, \bar{w}_n) > M + 1$ and $\bar{d}(\bar{b}_n, \bar{w}_n) > M + 1$ for each n .

We claim that for each positive n , $h_1(\bar{x}_n)$ and $h_2(\bar{x}_n)$ lie on the same side of \bar{w}_n in K_n . We prove this by contradiction. Suppose that $h_1(\bar{x}_n)$ and $h_2(\bar{x}_n)$ lie on opposite sides of \bar{w}_n for some n . Recall that $K_n = [\bar{a}_n, \bar{b}_n]$ and suppose without loss of generality that $h_1(\bar{x}_n)$ lies on the same side of \bar{w}_n as \bar{a}_n . There is a point $\bar{p}_n \in W$ with $h_1(\bar{p}_n) = \bar{a}_n$. Moreover, \bar{p}_n , \bar{x}_n , \bar{y}_n , and \bar{z}_n lie on the same component of W , and on this component, \bar{p}_n is on one side of \bar{x}_n , while \bar{y}_n and \bar{z}_n are on the other side.

Now, using the monotonicity of h_2 on a component, we see that the arc in X_s with endpoints $h_1(\bar{p}_n)$ and $h_2(\bar{p}_n)$ contains both \bar{a}_n and \bar{w}_n . This implies that $\bar{d}(h_1(\bar{p}_n), h_2(\bar{p}_n)) > M + 1$. This is a contradiction and the claim is established. Since $A_i \subset V$ for each i , it follows from the special form of V and the claim that $A_i \rightarrow A$.

Case 3. Now suppose that $\bar{x} = \bar{c}_i$ for some i .

In this case A is just the point $\{\bar{c}_j\}$. This case is routine using the structure of the neighborhood of \bar{c} given in Lemma 5.8. We leave the proof to the reader.

One of Case 1, Case 2 or Case 3 must hold so together they prove Theorem 5.10. \square

Theorem 5.11. *Suppose that $h_1, h_2 : X_s \rightarrow X_s$ are homeomorphisms which leave the endpoints of X_s fixed. Suppose that there is an $M > 0$*

such that $\bar{d}(h_1(\bar{x}), h_2(\bar{x})) \leq M$ for all $\bar{x} \in C_{\bar{c}}$. Then h_1 and h_2 are isotopic.

Proof. Let that $h_1, h_2 : X_s \rightarrow X_s$ be homeomorphisms which leave the endpoints of X_s fixed. Suppose that there is an $M > 0$ such that $\bar{d}(h_1(\bar{x}), h_2(\bar{x})) \leq M$ for all $\bar{x} \in C_{\bar{c}}$. Let $H : X_s \times I \rightarrow X_s$ be defined in the following way.

Let $\bar{x} \in X_s$ and $t \in I$. By Theorem 4.3, there is a unique arc A_x connecting $h_1(\bar{x})$ and $h_2(\bar{x})$. Let $m \in \mathbb{N}$ be such that $\pi_m|_{A_x}$ is a homeomorphism into I_m . Let $g_m : \pi_m(A_x) \rightarrow A_x$ be the inverse of this homeomorphism. Let $H(\bar{x}, t) = g_m((1-t) \cdot \pi_m(h_1(\bar{x})) + t \cdot \pi_m(h_2(\bar{x})))$. If $\pi_k|_{A_x}$ is a homeomorphism, then $g_k((1-t) \cdot \pi_k(h_1(\bar{x})) + t \cdot \pi_k(h_2(\bar{x}))) = g_m((1-t) \cdot \pi_m(h_1(\bar{x})) + t \cdot \pi_m(h_2(\bar{x})))$. So, $H(\bar{x}, t)$ is well-defined. We now show that H is continuous.

Suppose that $(\bar{x}_i, t_i) \rightarrow (\bar{x}, t)$. Let A_i be the unique arc with endpoints $h_1(\bar{x}_i), h_2(\bar{x}_i)$. Then $h_1(\bar{x}_i) \rightarrow h_1(\bar{x})$ and $h_2(\bar{x}_i) \rightarrow h_2(\bar{x})$. So, if A is the unique arc connecting $h_1(\bar{x})$ and $h_2(\bar{x})$, whose existence is given by Theorem 4.3, then, by Theorem 5.10, $A_i \rightarrow A$ in the Hausdorff metric.

Case 1. \bar{x} is not an endpoint.

In this case the arc A connecting $h_1(\bar{x})$ and $h_2(\bar{x})$ does not contain an endpoint. Let V be a neighborhood of A of the form $V \approx C \times I$ with C a Cantor set and I an interval by Lemma 5.1. Then there is an N such that for $n \geq N$, the arc $A_n \subset V$. Now by Lemma 5.1, there is an m such that π_m is a homeomorphism on each component of V to its image in I_m . Therefore for this m and for all $n \geq N$,

$$H(\bar{x}_n, t_n) = g_m((1-t_n) \cdot \pi_m(h_1(\bar{x}_n)) + t_n \cdot \pi_m(h_2(\bar{x}_n)))$$

and

$$H(\bar{x}, t) = g_m((1-t) \cdot \pi_m(h_1(\bar{x})) + t \cdot \pi_m(h_2(\bar{x}))).$$

So, clearly $H(\bar{x}_n, t_n) \rightarrow H(\bar{x}, t)$.

Case 2. \bar{x} is an endpoint.

In this case $h_1(\bar{x}) = h_2(\bar{x}) = \bar{x}$ since the endpoints are assumed to be fixed. Therefore $A = \{\bar{x}\}$ and thus $\mathcal{A}_n \rightarrow \{\bar{x}\}$. This implies that $H(\bar{x}_n, t_n) \rightarrow \{\bar{x}\} = H(\bar{x}, t)$.

So, $H(\bar{x}, t)$ is a homotopy. We now show that it is an isotopy by showing that for each t , $h_t(\bar{x}) = H(\bar{x}, t)$ is one-to-one and onto.

First we show that h_t is one-to-one. Note that h_t permutes the components of X_s the same way that h_1 and h_2 do. So, to show that h_t is one-to-one it will suffice to show that h_t restricted to a component $C_{\bar{x}}$ is one-to-one. Now $C_{\bar{x}}$ is the arc-component of \bar{x} . This arc-component with the \bar{d} metric is homeomorphic to either \mathbb{R} or \mathbb{R}_+ . Fix orderings on $C_{\bar{x}}$ and $C_{h_1(\bar{x})}$. Now h_1 and h_2 are homeomorphisms from $C_{\bar{x}}$ to $C_{h_1(\bar{x})}$ either preserving or reversing the orders of $C_{\bar{x}}$ and $C_{h_1(\bar{x})}$. However, since the \bar{d} distance between h_1 and h_2 on $C_{\bar{x}}$ is bounded, these either both preserve the orders or both reverse the orders on $C_{\bar{x}}$ and $C_{h_1(\bar{x})}$ in the same way. Thus, $h_t|_{C_{\bar{x}}}$ is one-to-one.

To show that h_t is onto is similar. \square

We now give the proof of the Isotopy Theorem as outlined at the beginning of this section.

Proof of the Isotopy Theorem

Proof. Let $h : X_s \rightarrow X_s$ be a homeomorphism. Let n be such that h^n leaves the endpoints of X_s fixed. By Theorem 4.6, there is an $M > 0$ and there is a $k \in \mathbb{Z}$ such that $\bar{d}(h^n(\bar{x}), \sigma^k(\bar{x})) \leq M$ for all $\bar{x} \in C_{\bar{c}}$. By Theorem 5.11, h^n and σ^k are isotopic. \square

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