

Unipotent elements, Standard Involutions

and the Divisor Matrix

I set

$$J_n = I + \sum_{i=1}^{n-1} e_{i, i+1} \in GL(n, \mathbb{Z}).$$

This unipotent element, the Jordan block of size n , occurs in many situations, as does $J_{n_1, n_2, \dots, n_r} = J_{n_1} \oplus \dots \oplus J_{n_r}$. There is an involution T_n in $GL(n, \mathbb{Z})$ which inverts J_n whose first row is $(10 \dots 0)$; T_n is uniquely determined by these conditions. Thus, $T_{n_1} \oplus \dots \oplus T_{n_r}$ inverts J_{n_1, \dots, n_r} , and I call such an involution standard, and any conjugate of the standard involution by an element of $\langle J_{n_1} \rangle \times \dots \times \langle J_{n_r} \rangle$ is also deemed to be standard.

By the divisor matrix, I mean the $\mathbb{N} \times \mathbb{N}$ matrix $D = (d_{ij}), (i, j) \in \mathbb{N} \times \mathbb{N}$, where

$$d_{ij} = \begin{cases} 1 & \text{if } i|j \\ 0 & \text{otherwise.} \end{cases}$$

This is an infinite, upper triangular matrix with 1 on the diagonal, and one checks that

$$D^{-1} = (\bar{d}_{ij}),$$

where

$$\bar{d}_{ij} = \begin{cases} \mu\left(\frac{j}{i}\right) & \text{if } i|j \\ 0 & \text{otherwise,} \end{cases}$$

μ being the Möbius function.

For each $n \in \mathbb{N}$, set $D_n = (d_{ij})_{\substack{1 \leq i \leq n \\ 1 \leq j \leq n}}$, the truncation of D of level n . Each D_n is a unipotent element of $GL(n, \mathbb{Z})$ and the preceding discussion produces a standard

involution $T(n)$, characterized as the only involution in $GL(n, \mathbb{Z})$ which inverts D_n and such that for each odd $i \leq n$, the i^{th} row is $(\delta_{i1}\delta_{i2}\dots\delta_{in})$. Piecing these involutions together produces an involution T inverting D such that the truncation of T of level n is $T(n)$ for all $n \in \mathbb{N}$.

The object of this note is to describe T rather explicitly, and to mention some of its properties. I write

$$T = (t_{ij}), (i, j) \in \mathbb{N} \times \mathbb{N}$$

and proceed to determine the t_{ij} . As already remarked,

$$t_{ij} = \delta_{ij} \text{ if } i \text{ is odd}$$

so we need to determine the t_{ij} when i is even.

In order to capture the i^{th} row of T , I introduce a Dirichlet series r_i by setting

$$r_i(s) = \sum_{n \in \mathbb{N}} \frac{t_{in}}{n^s}$$

At this point, r_i is just a formal series, but obviously, $r_d(s) = d^{-s}$ for all odd d .

Theorem. For all $a \in \mathbb{N}$ and all odd $n \in \mathbb{N}$,

$$(1) \quad r_{2^a n}(s) = \frac{1}{n^s} r_{2^a}(s),$$

and

$$(2) \quad r_{2^a}(s) = (1 - (1 - 2^{-s})\zeta(s)^2)^a$$

where ζ is the Riemann zeta function.

Proof. If $n \in \mathbb{N}$ and p is a prime, $p^{\nu_p(n)}$ is in the highest power of p which divides n . Also, I set

$$\sigma_s(n) = \sum_{d|n} d^{-s}.$$

Thus, for example,

$$(3) \quad \sigma_0(n) = \prod_p (1 + \nu_p(n)),$$

and

$$(4) \quad \sum_{n \text{ odd}} \frac{\sigma_0(n)}{n^s} = \prod_{p>2} (1 - p^{-s})^{-2}$$

We examine the equation $TD = D^{-1}T$. Comparing (i, j) entries gives

$$\sum_k t_{ik} d_{kj} = \sum_k \bar{d}_{ik} t_{kj},$$

that is

$$(5) \quad \sum_{k|j} t_{ik} = \sum_{i|k} \mu\left(\frac{k}{i}\right) t_{kj}.$$

In order to exploit (5), I interpolate two easy lemmas.

Lemma 1. *If $t_{ij} \neq 0$ and p is an odd prime, then $\nu_p(i) \leq \nu_p(j)$.*

Lemma 2. *If $t_{ij} \neq 0$, then*

$$\sum_p \nu_p(i) \leq \sum_p \nu_p(j).$$

I prove both lemmas at the same time. Suppose one of the lemmas is false. Let j be the smallest integer such that $t_{ij} \neq 0$ and either Lemma 1 or Lemma 2 is false

for (i, j) . For this j , let i be the largest integer such that $t_{ij} \neq 0$ and either Lemma 1 or Lemma 2 is false for (i, j) . Since $t_{ab} = \delta_{ab}$ for all odd a , and since obviously $i \neq j$, it follows that i is even, $i = 2i_0$. We use (5) for the pair (i_0, j) . I argue that if $k|j$ and $k < j$, then $t_{i_0k} = 0$. Suppose Lemma 1 fails for (i, j) and the odd prime p . Since $\nu_p(i_0) = \nu_p(i)$, and $\nu_p(k) \leq \nu_p(j)$ for all divisors k of j , and since $\nu_p(i) > \nu_p(j)$, we get $\nu_p(i_0) > \nu_p(k)$, and so our choice of j forces $t_{i_0k} = 0$ for every proper divisor k of j . Suppose Lemma 2 fails for (i, j) and k is a proper divisor of j . Since

$$\sum_p \nu_p(i) > \sum_p \nu_p(j) > \sum_p \nu_p(k),$$

and since $\sum_p \nu_p(i_0) = -1 + \sum_p \nu_p(i)$ we have

$$\sum_p \nu_p(i_0) > \sum_p \nu_p(k).$$

Again, the minimality of j forces $t_{i_0k} = 0$. Hence

$$\sum_{k|j} t_{i_0k} = t_{i_0j}.$$

Next, I argue that if $i_0|k$ and $k > i$, then $t_{kj} = 0$. Suppose $t_{kj} \neq 0$. Since $i_0|k$, it follows that for all odd primes p , $\nu_p(k) \geq \nu_p(i_0)$, and as before $\nu_p(i_0) = \nu_p(i)$. Thus, if Lemma 1 fails for (i, j) and p , it also fails for (k, j) and p , against $k > i$ and the maximality of i . If Lemma 2 fails for (i, j) , then we get

$$\sum_p \nu_p(k) \geq 1 + \sum_p \nu_p(i_0) = \sum_p \nu_p(i) > \sum_p \nu_p(j),$$

so Lemma 2 fails for (k, j) against maximality of i . Hence, we have shown that

$$\sum_{i_0|k} \mu\left(\frac{k}{i_0}\right) t_{kj} = \mu(1)t_{i_0j} + \mu(2)t_{ij}.$$

By (5), we get

$$t_{i_0j} = \mu(1)t_{i_0j} + \mu(2)t_{ij},$$

against $\mu(1) = 1, \mu(2) = -1$. So Lemmas 1 and 2 hold.

If $(i, j) \in \mathbb{N} \times \mathbb{N}$, I set

$$\begin{aligned} L(i, j) &= \sum_{k|j} t_{ik}, \\ R(i, j) &= \sum_m \mu(m)t_{im, j}, \\ \text{so that } R(i, j) &= \sum_{i|k} \mu\left(\frac{k}{i}\right)t_{kj}, \text{ and} \\ L(i, j) &= R(i, j), \quad (i, j) \in \mathbb{N} \times \mathbb{N}. \end{aligned}$$

Suppose now that i is even and

$$i = 2^{a+1}d, \quad a \in \mathbb{N}_0, \quad d \text{ odd.}$$

Set

$$i_0 = 2^a d.$$

Concerning i_0 , I insert another result.

Lemma 3. *If $j \in \mathbb{N}$ and $d \nmid j$, then $t_{i_0m} = 0$ for every divisor m of j and $t_{kj} = 0$ for every multiple k of i_0 .*

Proof. This lemma follows from Lemma 1, for if $d \nmid j$, then there is an odd prime p such that $\nu_p(d) > \nu_p(j)$, and for this prime, $\nu_p(i_0) > \nu_p(m)$ for every divisor m of j and $\nu_p(k) > \nu_p(j)$ for every multiple k of i_0 .

Thus, we are led to examine $L(2^a d, 2^c d n)$ and $R(2^a d, 2^c d n)$, where $a, c \in \mathbb{N}_0$ and

d and n are odd. Applying Lemma 1, we get

$$\begin{aligned} L(2^a d, 2^c dn) &= \sum_{b \leq c} \sum_{m|n} t_{2^a d, 2^b dm} \\ R(2^a d, 2^c dn) &= \sum_{m|n} \mu(m) t_{i_0 m, 2^c dn} \\ &= \sum_{m|n} \mu(m) t_{i_0 m, 2^c dn} + \mu(2) \sum_{m|n} \mu(m) t_{im, 2^c dn}. \end{aligned}$$

Since $L(2^a d, 2^c dn) = R(2^a d, 2^c dn)$ and since $\mu(2) = -1$, we get

$$(6) \quad \begin{aligned} \sum_{m|n} \mu(m) t_{2^{a+1} dm, 2^c dn} &= \sum_{m|n} \mu(m) t_{2^a dm, 2^c dn} \\ &\quad - \sum_{b \leq c} \sum_{m|n} t_{2^a d, 2^b dm}. \end{aligned}$$

For each divisor h of n , let $(dh, \frac{n}{h})$ play the role of (d, n) in (6):

$$(6.h) \quad \begin{aligned} \sum_{m|\frac{n}{h}} \mu(m) t_{2^{a+1} dhm, 2^c dn} &= \sum_{m|\frac{n}{h}} \mu(m) t_{2^a dhm, 2^c dn} \\ &\quad - \sum_{b \leq c} \sum_{m|\frac{n}{h}} t_{2^a dh, 2^b dhm}, \end{aligned}$$

where I have used the equality $dh \cdot \frac{n}{h} = dn$. Adding these equations (6.h), as h ranges over the divisors of n , yields

$$(7) \quad \begin{aligned} t_{2^{a+1} d, 2^c dn} &= t_{2^a d, 2^c dn} \\ &\quad - \sum_{h|n} \sum_{b \leq c} \sum_{m|\frac{n}{h}} t_{2^a dh, 2^b dhm} \end{aligned}$$

Here I have used the equations $\delta_{1k} = \sum_{l|k} \mu(l)$.

Suppose now that (1) holds for a . Then (7) gives

$$(7)' \quad t_{2^{a+1} d, 2^c dn} = t_{2^a, 2^c n}$$

$$- \sum_{h|n} \sum_{b \leq c} \sum_{m|\frac{n}{h}} t_{2^a, 2^b m}.$$

But (7) holds for all odd d, n , and in particular, for $d = 1$, whence (7)' forces (1)

to hold for $a + 1$:

$$t_{2^{a+1}, 2^c n} = t_{2^{a+1}d, 2^c dn},$$

and then (7)' reads

$$(8) \quad t_{2^{a+1}, 2^c n} = t_{2^a, 2^c n} - \sum_{h|n} \sum_{b \leq c} \sum_{m|\frac{n}{h}} t_{2^a, 2^b m}.$$

By inspection.

$$\begin{aligned} \sum_{h|n} \sum_{b \leq c} \sum_{m|\frac{n}{h}} t_{2^a, 2^b m} &= \\ \sum_{b \leq c} \sum_{m|n} t_{2^a, 2^b m} \cdot \sigma_0\left(\frac{n}{m}\right), \end{aligned}$$

so (8) becomes

$$(9) \quad t_{2^{a+1}, 2^c n} = t_{2^a, 2^c n} - \sum_{b \leq c} \sum_{m|n} t_{2^a, 2^b m} \sigma_0\left(\frac{n}{m}\right).$$

This equation holds for all odd n and all $c \in \mathbb{N}_0$, and so

$$\begin{aligned} r_{2^{a+1}}(s) &= r_{2^a}(s) - \sum_{c \geq 0} \sum_{n \text{ odd}} \sum_{b \leq c} \sum_{m|n} \frac{t_{2^a, 2^b m}}{(2^c n)^s} \sigma_0\left(\frac{n}{m}\right) \\ &= r_{2^a}(s) - \sum_{c-b \geq 0} \frac{1}{2^{(c-b)s}} \sum_{m \text{ odd}} \sum_{b \geq 0} \frac{t_{2^a, 2^b m}}{(2^b m)^s} \cdot \sum_{\ell \text{ odd}} \frac{\sigma(\ell)}{\ell^s} \\ &= r_{2^a}(s) - (1 - 2^{-s})^{-1} r_{2^a}(s) \cdot \prod_{p>2} (1 - p^{-s})^{-2} \\ &= r_{2^a}(s) (1 - (1 - 2^{-s}) \zeta(s)^2), \end{aligned}$$

and the Theorem holds by induction on a .

I conclude with a remark. Let $T(n)$ be the truncation of T of level n , so that

$$T(n) = (t_{ij})_{\substack{1 \leq i \leq n, \\ 1 \leq j \leq n}}$$

and set

$$\text{Sum } T(n) = \sum_{\substack{1 \leq i \leq n \\ 1 \leq j \leq n}} t_{ij}$$

be the sum of all the entries of $T(n)$. Set

$$\text{Row Sum } {}_i T(n) = \sum_{j=1}^n t_{ij},$$

$$\text{Col Sum } {}_j T(n) = \sum_{i=1}^n t_{ij}.$$

Obviously,

$$\text{Sum } T(n) = \sum_{i=1}^n \text{Row Sum } {}_i T(n) = \sum_{j=1}^n \text{Col Sum } {}_j T(n).$$

I argue that $\text{Col Sum } {}_j T(n) = \mu(j)$. Namely, since T is an involution and $TD = D^{-1}T$, so also $DT = TD^{-1}$. Since $d_{1k} = 1$ for all k , it follows that the $(1, j)$ entry of DT is $\text{Col Sum } {}_j T(n)$, for all $j \leq n$. Since $t_{1k} = \delta_{1k}$, the (i, j) entry of TD^{-1} is $\bar{d}_{1j} = \mu\left(\frac{j}{1}\right) = \mu(j)$.

So

$$(*) \quad M(n) = \sum_{j=1}^n \mu(j) = \sum_{i=1}^n \text{Row Sum } {}_i T(n),$$

where M is the summatory function for ζ^{-1} . I think of $(*)$ as expressing the incomprehensible by the inscrutable.

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